



PRACTICE DIRECTION NO. 3:

PRODUCTION OF DOCUMENTS AND DOCUMENT MANAGEMENT PROTOCOL

Released 26 September 2023

INTRODUCTION

- 1 This Practice Direction is issued under section 63(1) of the *Inquiries Act 2014* (Vic) (**Act**) by the Board of Inquiry into Historical Child Sexual Abuse in Beaumaris Primary School and Certain Other Government Schools (**Board of Inquiry**) and sets out general guidance relating to:
 - 1.1 the production of materials to the Board of Inquiry in response to a notice to attend, a notice to produce or a notice to attend and produce under section 64 of the Act (**Notice**);
 - 1.2 claims of reasonable excuse in response to a Notice; and
 - 1.3 the protocol for the management of documents (**Protocol**).
- 2 This Practice Direction should be read in conjunction with the Act and the Order in Council under section 53(1) of the Act dated 28 June 2023 establishing the Board of Inquiry (**Order**), including the Board of Inquiry's terms of reference, which prevail to the extent of any inconsistency with this Practice Direction.
- 3 This Practice Direction and the Protocol applies to:
 - 3.1 any person or organisation that has been served with a Notice; and
 - 3.2 anyone who intends to provide documents, information or other material to the Board of Inquiry, including in response to a request for information.
- 4 The intended audience for this Practice Direction also includes the legal representatives and IT professionals engaged to assist people and organisations in responding to a Notice.
- 5 This Practice Direction, and any other practice directions issued by the Board of Inquiry, may be varied or replaced at any time. The Board of Inquiry may, at any time, depart from this Practice Direction if it considers it appropriate to do so, subject to the Act and the Order.

PRODUCTION OF MATERIAL TO THE BOARD OF INQUIRY

- 6 Any material to be produced to the Board of Inquiry should be in an accessible electronic format consistent with the requirements of this Practice Direction and the Protocol.
- 7 This does not preclude the Board of Inquiry from accepting material, at its discretion, in a hard copy format or as objects.
- 8 If a person or organisation producing materials to the Board of Inquiry is not able to comply with the requirements of this Practice Direction or the Protocol, they should contact the Board of Inquiry to discuss alternative arrangements for production by email to legal@beaumarisinquiry.vic.gov.au or by telephone to 8301 0102.

RESTRICTED PUBLICATION ORDERS (OTHER THAN IN RELATION TO PRIVILEGE)

- 9 The existence of personal information in materials being produced is not, by itself, a basis on which the Board of Inquiry will necessarily make an order prohibiting or restricting publication. The Protocol includes a process for a person or organisation to identify any personal information.

- 10 Any person or organisation who seeks an order prohibiting or restricting the publication of a document (or part of a document) must:
- 10.1 have regard to section 73 of the Act and any notes which accompany the relevant Notice; and
 - 10.2 comply with the requirements of the Protocol for the production and coding of documents where an order prohibiting or restricting publication is made.

BOARD OF INQUIRY'S DOCUMENT MANAGEMENT SYSTEM

- 11 The Board of Inquiry will maintain an electronic database using the Nuix Discover (Ringtail) platform that will contain, among other things, copies of all material produced to the Board of Inquiry including material produced in response to a Notice, informal request for information or otherwise.
- 12 Any person who has a technical question about producing material electronically to the Board of Inquiry should contact legal@beaumarisinquiry.vic.gov.au or 8301 0102.

CLAIMS OF 'REASONABLE EXCUSE' IN RESPONSE TO NOTICES

- 13 In accordance with section 86 of the Act, it is an offence to refuse or fail to comply with a Notice without a reasonable excuse. A person served with a notice to produce or notice to attend may make a claim to the Board of Inquiry that the person has or will have a reasonable excuse for failing to comply with the notice (**Reasonable Excuse Claim**).
- 14 Any person or organisation who wishes to make a Reasonable Excuse Claim in respect of a Notice, in whole or in part, must have regard to sections 65 and 74 of the Act, any notes which accompany the relevant Notice and any relevant practice directions issued by the Board of Inquiry.
- 15 A person or organisation may make a Reasonable Excuse Claim by making that claim:
- 15.1 in relation to a notice to produce, on or before the production date specified in the notice; or
 - 15.2 in relation to a notice to attend, three business days prior to the appearance date, (**Objection date**).
- 16 If a person or organisation considers that the whole or part of a Notice concerns documents, evidence or material that is the subject of a Reasonable Excuse Claim, they must, by the Objection Date, notify the Board of Inquiry's lawyers in writing of the claim, accompanied by a short written submission of no more than five pages setting out the basis upon which each claim is made. The written submission should provide the following information:
- 16.1 a brief general description of the subject matter, document(s), evidence or material to which the reasonable excuse is claimed to apply;
 - 16.2 the basis on which the Reasonable Excuse Claim is made, having regard to section 65 of the Act (for example, privilege against self-incrimination (s 65(2)(a)), legal professional privilege (s 65(2)(c)), and court orders prohibiting disclosure (s 65(2)(e)); and
 - 16.3 brief reasons in support of the claim of reasonable excuse.
- 17 In addition, all documents or materials must:
- 17.1 include a description of the nature of the document (date, type, etc);
 - 17.2 include the author(s) and, where applicable, the addressee(s) of the document; and
 - 17.3 inform the Board of Inquiry whether the person or organisation:
 - 17.3.1 claims that the Reasonable Excuse Claim applies to all or part of the document(s), evidence or material;

17.3.2 claims the document(s), evidence or material should not be adduced and/or produced at all on the grounds of the identified Reasonable Excuse Claim; or

17.3.3 whether the person or organisation consents to production of the document(s), evidence or material on appropriate terms, and if so, what the proposed terms might be.

18 Where a claim of reasonable excuse is made over documents or material, the person or organisation making that claim must also comply with the requirements of the Protocol in respect of the production and coding of the documents or material.

DOCUMENTS SUBJECT TO STATUTORY SECRECY OR CONFIDENTIALITY

19 A person or organisation seeking to assert a claim for statutory secrecy or confidentiality in whole or in part for a document, evidence or material to be produced should have regard to section 74 of the Act, the notes which accompany the relevant Notice and any relevant practice directions by the Board of Inquiry.

20 In accordance with section 74 of the Act, the Board may still require the production of the document, evidence or material to assess whether the statutory secrecy or confidentiality applies.



DOCUMENT MANAGEMENT PROTOCOL

INTRODUCTION

- 1 This Protocol outlines the method by which documents are to be provided to the Board of Inquiry, whether in response to a Notice or otherwise.
- 2 The intended audience of this Protocol is the legal representatives and IT professionals engaged to assist people and organisations to produce materials to the Board of Inquiry. Compliance with the Protocol is particularly desirable for parties producing large volumes of material.
- 3 This Protocol may be varied or replaced by the Board of Inquiry from time to time. The Board of Inquiry may, at any time, depart from this Protocol if it considers it appropriate to do so, including (but not limited to) circumstances where requiring a person or organisation to comply with the technical specifications of this Protocol is unreasonable or onerous.

EXCHANGE FORMAT OF DOCUMENTS

- 4 The Board of Inquiry will accept electronic documents in a Nuix (.mdb) format (see **Annexure A**).
- 5 Each electronic file must be produced as a rendered searchable PDF together with a (.txt) file containing the OCR contents of the PDF file (see **Annexure B**). If a file, such as a video .avi file, is not capable of being rendered as a PDF file, it should be produced in its native format.
- 6 All metadata should be provided where practicable. The Board of Inquiry accepts that complete document metadata might not be available for all electronic documents.
- 7 Hard copy documents will be produced as PDFs, together with extracted text files where possible (see **Annexure B**).
- 8 Original versions of all documents must be retained by the person or organisation producing it.

IDENTIFICATION OF DOCUMENTS – DOCUMENT IDS

- 9 Each document must be identified with a Document ID and page number which are unique to each page and will be the primary means for identification of documents.
- 10 All Document IDs and page numbers are to be stamped in the top right hand corner of each page.
- 11 A Document ID must be in the following format:

PPP(P).BBBB.FFFF.NNNN_(XXXX)

Where:

- 11.1 **PPP(P)** is a three (or four) letter 'party code'. A person or organisation producing documents should contact the Board of Inquiry at legal@beaumarisinquiry.vic.gov.au prior to production to confirm the party codes available for use.
- 11.2 **BBBB** is a 4-digit 'box' number identifying separate collections of documents (for example in relation to a particular Notice). The number is to be between 0001–9999.
- 11.3 **FFFF** is a 4-digit 'container' number identifying further separate collections of documents. The number is to be between 0001–9999.
- 11.4 **NNNN** is a 4-digit number used to differentiate individual pages and/or documents. In

some cases, NNNN operates as a document number rather than a page number because individual pages are not numbered (i.e. non-standard native files not produced as searchable PDFs). This number is padded with zeros to consistently result in a 4-digit structure.

11.5 (XXXX) is an optional 4-digit number used to identify suffix rendered PDF pages. It is only required where a person or organisation chooses to review documents in native format in their document review platforms and render documents to PDF for the purpose of production. The suffix must be preceded by an underscore and padded with zeros to consistently result in a 4-digit number structure.

12 An example of the Document ID structure is as follows:

ABC.0001.0001.0020

Where

ABC	Party Code
0001	Unique 'box' number allocated by the person or organisation.
0001	Unique 'container' number allocated by the person or organisation.
0020	Unique document number within the 'container'.

13 Assigned Document IDs must be unique to each document and must not be reassigned to subsequent documents produced.

14 If alternate numbering is required, please contact the Board of Inquiry to discuss.

15 It is understood and accepted that Document IDs may not be consecutive as a result of the removal of irrelevant documents during review. A person or organisation must however identify host and attachment documents with consecutive Document IDs.

16 If a person or organisation wishes to render a document to PDF at the time of production, following a native file review, and, for example, the first Document ID is ABC.0001.0001.0001, then:

16.1 the first page of that document rendered PDF must be stamped with: ABC.0001.0001.0001;

16.2 the second page of that document must be stamped with: ABC.0001.0001.0001_0002;

16.3 the third page of that document must be stamped with: ABC.0001.0001.0001_0003, and so on.

DOCUMENT HOSTS AND ATTACHMENTS

17 Every electronic document that is attached to or embedded within another document will be treated as an Attached Document. A document that contains at least one Attached Document will be called a Host Document. A document that is neither a Host Document nor an Attached Document will be called a Standalone Document.

18 Examples of Host Documents and Attached Documents include:

18.1 an email, letter or fax (Host Document) and its attachments (Attached Documents); and

- 18.2 an electronic file (Host Document) that has other files embedded within it (Attached Documents).
- 19 If an Attached Document also contains attachments, those attachments will be treated as attachments to the Host Document.
- 20 A person or organisation must ensure that false or unnecessary relationships between Host Documents and Attached Documents are not created by:
- 20.1 taking reasonable steps to ensure that email footers, logos, and other repeated content are not separated as Attached Documents; and
- 20.2 ensuring that physical or digital document containers, such as hard copy folders or electronic ZIP container files, are not identified as Host Documents, unless the identification of the container as a Host Document is necessary to the understanding of the documents within that container.
- 21 Unless required to provide documents in their native structure for technical reasons, documents should be extracted from their containers and the container itself should not be produced.
- 22 For hard copy documents, document delimiting is to be done logically. This means that physical delimiters such as staples, clips, dividers, may be used as a guide only and the start and end page of a document should be logically determined. All documents comprising a brief, file, or similar are separate documents.

INDEXES AND LOAD FILES OF DOCUMENTS PRODUCED

- 23 All documents to be produced to the Board of Inquiry must be:
- 23.1 included in an itemised electronic index of documents in Microsoft Excel format (Index) that is provided to the Board of Inquiry; and
- 23.2 provided in an electronic format in accordance with the Production Load File Specification at **Annexure A** or **B** (as applicable) (Load File).
- 24 Both the Index and the Load File must contain the following data for each document, where available:
- 24.1 Document ID;
- 24.2 Host Document ID;
- 24.3 Document Type;
- 24.4 Document Date;
- 24.5 Document Title;
- 24.6 Author (From);
- 24.7 Recipient (To);
- 24.8 Recipient (CC);
- 24.9 Recipient (BCC);
- 24.10 Notice No.;
- 24.11 Notice Tranche No.;
- 24.12 Notice Schedule Item;
- 24.13 Withheld;
- 24.14 Withheld Reason;
- 24.15 Restriction requested;
- 24.16 Reason for restriction request;

24.17 LPP; and

24.18 Personal identifying information.

25 For further details on the document details and specifications, see **Annexure A**.

DOCUMENT METADATA

26 Wherever possible, a person or organisation is to rely on the automatically identified metadata of electronic documents. Automatically identified metadata should be used when:

26.1 searching for documents;

26.2 itemising documents in a list; and

26.3 preparing a production of documents in accordance with the Production Specification for Load File and Documents at **Annexure A or B**.

27 A person or organisation must take reasonable steps to ensure that all appropriate document metadata is not modified or corrupted during collection and preparation of electronic documents for review and production.

28 Document metadata is to be automatically extracted using Australian Eastern Daylight Time (AEDT) as the time zone in the processing application.

29 The Board of Inquiry accepts that complete document metadata may not be available for all electronic documents. A person or organisation should attempt to provide complete metadata where practicable.

30 Hard copy documents must be produced as searchable PDFs, together with a Load File where possible (see **Annexure A or B**, as relevant).

31 If requested by the Board of Inquiry, a person or organisation must provide information regarding the software and procedure used to automatically identify the metadata of their electronic documents.

DE-DUPLICATION OF DOCUMENTS

32 A person or organisation must take reasonable steps to ensure that duplicate documents are removed from the produced material (de-duplication).

33 The Board of Inquiry acknowledges that there may be circumstances where duplicates need to be identified and produced for evidentiary purposes.

34 Duplication must be considered at a document group level. That is, all documents within a group comprising a Host Document and its attachments, will be treated as duplicates only if the entire group of documents is duplicated elsewhere. An Attached Document must not be treated as a duplicate if it is merely duplicated elsewhere as an individual standalone document that is not associated with another group of documents.

35 All persons and organisations must apply electronic de-duplication using a MD5 algorithm.

36 A person or organisation must take reasonable steps to remove irrelevant system files and immaterial content including temporary internet files, 'thumbs.db' files, and cookies.

EXCLUSION OF UNUSABLE FILE TYPES

37 An NIST filter is to be applied to a person or organisation's electronic documents to remove files with no user-generated content, such as system files and executable files, so that these are excluded from searches and production (to the extent possible).

38 Temporary internet files and cookies are to be excluded from the production process.

TREATMENT OF EMAIL CHAIN CORRESPONDENCE

39 Where an email is identified as relevant and it forms part of an email chain, the entire email

chain must be produced.

USE OF ADVANCED ANALYTICS TECHNOLOGY

- 40 A person or organisation may use advanced analytics technologies at their own discretion, but they must maintain the integrity and context of the documents, and produce entire document groups including all attachments.
- 41 'Email threading' technology may be used to minimise document review. Where this technology is used, only the relevant end point email with its attachments should be provided.
- 42 A person or organisation may use technology commonly referred to as 'TAR / Assisted Review / Predictive Coding' for document review at their discretion. Approval to use such technology is not required, but a person or organisation must disclose to the Board of Inquiry that it has been used and implement processes to ensure that they are meeting their obligations under a Notice or otherwise by providing only material identified as relevant to the notices issued, along with their document group.

DATA SECURITY

- 43 A person or organisation producing data must take all reasonable steps to ensure that the data is useable and is not infected by malicious software.

ERRORS IN PRODUCED DOCUMENTS

- 44 If errors are found in any produced documents, the person or organisation producing must provide a corrected version of the document to the Board of Inquiry as soon as reasonably practicable once that error is identified.
- 45 If errors are found in more than 25% of the produced documents in any one tranche, the person or organisation who produced those documents must, if requested by the Board of Inquiry, provide a correct version of all documents within the tranche.
- 46 A written explanation setting out the reasons for the errors in the documents and describing the data affected must be provided by the person or organisation producing if errors are found in any produced documents.

ELECTRONIC PROVISION OF DATA FOR PRODUCTION

- 47 Unless otherwise agreed or ordered by the Board of Inquiry, the information provided and delivered to the Board of Inquiry must be contained on agreed electronic media, being either:
- 47.1 SFTP services of the person or organisation providing the documents; or
 - 47.2 USB media.
- 48 In all cases, a person or organisation must apply encryption to the ZIP file uploaded to the SFTP or the USB media provided and the password must be shared with the Board of Inquiry via a separate email, at the time of confirming the delivery.

CLAIMS OF A REASONABLE EXCUSE FOR NOT COMPLYING WITH A NOTICE

- 49 Where a person or organisation asserts that it has a reasonable excuse for failing to produce a whole document, they must:
- 49.1 ensure that the document is identified in the Index and Load File;
 - 49.2 code the field 'Withheld=Yes' in the Index and Load File; and
 - 49.3 select the basis for the claim in the field 'Withheld Reason' in the Index and Load File.
- 50 Where a person or organisation asserts that a reasonable excuse exists for failing to produce part(s) of a document, they must:
- 50.1 redact the part(s) of the document that the person or organisation asserts it has a

- reasonable excuse to withhold;
 - 50.2 ensure that the document is identified in the Index and Load File;
 - 50.3 code the field 'Withheld=Part' in the Index and Load File; and
 - 50.4 select the basis for the claim in the field 'Withheld Reason' in the Index and Load File.
- 51 Where a person or organisation seeks an order to prohibit or restrict publication over information that is personal identifying information, they may:
- 51.1 highlight any personal identifying information as set out in paragraph 52;
 - 51.2 code the field 'Personal identifying information=Yes' in the Index and Load File; and
 - 51.3 code the fields 'Restricted' and 'Restricted Reason' in the Index and Load File as is appropriate.

52 The highlight colours to be applied are set out below:

Colour	Reason for highlighting
Light blue	Personal identifying information.
Green	Claim for restricted publication order under section 73 of the Act.

53 If part of any document provided to the Board of Inquiry is highlighted in accordance with this section, the person or organisation producing that document must retain a non-highlighted version of the document which must be produced to the Board of Inquiry on request.

PRODUCTION OF REDACTED COPIES OF DOCUMENTS SUBJECT TO A RESTRICTED PUBLICATION ORDER

- 54 Where the Board of Inquiry has granted a restricted publication order in respect of a document or part/s of a document, the party who has produced the document in accordance with paragraphs 50 to 53 above must produce to the Board of Inquiry a replacement image of the document which:
- 54.1 contains black redactions over the document or part/s of the document subject to the restricted publication order; and
 - 54.2 is marked with '_R' at the end of the document ID.
- 55 Unless otherwise directed, the replacement image must be provided to the Board of Inquiry no later than two business days after the restricted publication order has been granted. If a restricted publication order is applied for or granted in close proximity to a hearing at which the document is intended to be tendered, to allow for the efficient conduct of the hearing, the Board of Inquiry may require a party to provide a replacement image less than two business days after the restricted publication order has been granted.
- 56 If an image is redacted multiple times or by multiple parties, then the replacement image must be marked with '_R1', '_R2' and so on.



ANNEXURE A

PRODUCTION SPECIFICATION FOR LOAD FILE AND DOCUMENTS

- 1 The production will consist of two parts:
 - 1.1 Load file in a Ringtail export.MDB format; and
 - 1.2 Cascading documents folder.

CASCADING DOCUMENT FOLDER STRUCTURE

- 2 Text searchable PDF files, NATIVE files and extracted TEXT files of the document will be organised in a cascading folder structure according to the Document ID components. For example:

```
PPP\BBBB\FFFF\  
ABC\0001\0001\ABC.0001.0001.0020.pdf
```

RINGTAIL MDB SPECIFICATION

- 3 The document metadata is to be structured into the following four Microsoft Access database tables:

Table Name	Table Description
Export	Main document information.
Parties	People and organisation information for each document.
Pages	Listing of electronic image filenames for each document. The Pages Table will correspond to the files within the cascading document folder structure.
Export_Extras	Additional data fields for each document, including subjective fields populated by the parties during review.

EXPORT

- 4 This table contains a single entry for each document produced.

Field	Data type	Explanation – Document Types and Coding Method and possible values
Document_ID	Text, 255	Unique identifier for each document.
Host_Reference	Text, 255	The Document ID of the Host Document.
Document_Type	Text, 255	Hard copy: objectively captured (refer Annexure C). Native Emails: "Email". Other electronic documents (not native emails): objectively captured, electronic file type or electronic file kind.
Document_Date	Date, 11	Format: DD-MMM-YYYY (e.g. 01-Mar-2010) Hard copy: objectively captured. Emails: sent or received date. Other electronic documents (non-emails): objectively captured or extracted from metadata. Undated documents: NULL. Partial date (year only): 01-Jan-YYYY. Partial date (month and year): 01-MMM-YYYY. Partial date (date and month): DD-MMM-1900. Date ranges: the latest/greatest date.
Estimated	Text, 3	Yes or No only (no NULL values). Partial date: Yes. Date ranges: Yes. Undated documents: No. Default: No.
Title	Text, 255	Hard copy: objectively captured Emails: subject field. Other electronic documents (non-emails): objectively captured, filename, or extracted from metadata.

Field	Data type	Explanation – Document Types and Coding Method and possible values
		Untitled documents: NULL
Level_1 – Level_1	Text, 20	The corresponding level information of the Document ID and documents.

PARTIES TABLE

- 5 This table contains a single entry for each document produced. It holds the names of people associated with a particular document and their relationship to the document. It might also hold organisation information for these people. There is a one-to-many relationship between the Export Table, containing the primary document information, and the Parties Table, because multiple people could be associated with a single document.
- 6 Where a document has multiple parties, each party must be entered into a separate row in the parties table.
- 7 To associate a person with an organisation (or vice versa), the person and organisation should appear on the same row within the parties table, but with the person information captured in the persons field, and the organisation information captured in the organisations field.
- 8 It is not mandatory for email addresses to be split into person and organisation, even where this information is visible from the email address. Where the email address is not split between person and organisation, the full email address should be entered into the persons field.
- 9 Where metadata is used, it is not mandatory to split the parties' information into person and organisation, even where this information is visible. Where the metadata is not split between person and organisation, the metadata should be entered into the persons field.
- 10 It is not mandatory for every document to have an author (i.e. "From") where this information is not visible in the document.

Field	Data type	Explanation
Document_ID	Text, 255	Document ID.
Correspondence Type	Text, 100	FROM, TO, CC, BCC.
Organisations	Text, 255	Hard copy: objectively captured. Electronic emails: email addresses or email alias name or objectively captured. Other electronic documents (non-emails): objectively captured, extracted from metadata, or NULL.
Persons	Text, 255	Hard copy: objectively captured in the format: {Surname} {First Name Initial} e.g. Smith J.

Field	Data type	Explanation
		Electronic emails: email addresses or email alias name.
		Other electronic documents (non-emails): objectively captured, extracted from metadata, or NULL.

PAGES TABLE

- 11 There will be at least one entry in the Pages Table that relates to a single document in the Export Table. Concurrently, there will be an entry in the Pages Table for every file provided in the cascading document folder structure.

Field	Data type	Explanation
Document_ID	Text, 255	Document ID.
File Name	Text, 128	Filename, including extension of each indexed document.
Page Label	Text, 32	<p>“PDF” for files produced as searchable multipage PDF documents.</p> <p>“Native” for documents produced as native electronic files.</p> <p>“Text” for extracted text files.</p>
Page_Num	Number, Double	An integer indicating the order in which the files related to the document should be sequenced.
Num_Pages	Number, Double	<p>Number, Double: a number that represents the total number of pages of the document for files produced as searchable multipage PDF Documents.</p> <p>“1” for documents produced as native electronic files and for extracted text files.</p>

EXPORT EXTRAS TABLE

- 12 The Export Extras Table holds the additional metadata that is not held in the other three Tables mentioned above.

Field	Data type	Explanation
Document_ID	Text, 255	Unique document Identifier (Document ID).

Field	Data type	Explanation
theCategory	Text, 50	Text OR Date OR Numb OR Bool OR Pick OR Memo.
theLabel	Text, 255	Custom Field Contents from the List of Extras Fields below.
theValue	Text, 255	Custom Field Contents from the List of Extras Fields below.
MemoValue	MEMO	Custom Field Contents from the List of Extras Fields below for values more than 255 characters.

REQUIRED EXTRAS FIELDS

The Label	Field Type (the Category)	Acceptable Values	Explanation
Withheld	PICK	Yes, Part	Only required for documents being withheld in full or part. Single choice only
Withheld Reason	PICK	Privilege (s 23A), self-incrimination (s 26) or other	Basis on which a reasonable excuse is claimed: Only required for documents marked as Withheld = Yes or Part.
Restricted	PICK	Yes, Part	Only required for documents with restrictions in full or part. Single choice only.
Restricted Reason	PICK	Privilege against self-incrimination (s 26), or restriction on publication of information (s 14).	Basis on which self-incrimination is claimed. Only required for documents marked as Privilege = Yes or Part.
LPP	PICK	Yes, Part.	Only required where legal professional privilege is claimed in full or
MD5	TEXT	MD5 hash value used for De-Duplication, if available.	MD5

The Label	Field Type (the Category)	Acceptable Values	Explanation
Request Number	TEXT	NP-100.	Notice number. The Board of Inquiry request number as identified on the notice (e.g. NP-100).
Date (time)	TEXT	DD-MMM-YYYY HH:MM (where HH is a 24 hour format).	As the Document_Date field in the Export Table does not support date/time, this field should be added as a text field in the export_extras. Format: DD-MMM-YYYY HH:MM where HH is a 24 hour format (e.g. 01-Mar-2010 14:22) Hard copy: objectively captured. Emails: sent or received date. Other electronic documents (non-emails): objectively captured or extracted from metadata. Undated Documents: NULL. Partial date (year only): 01-Jan-YYYY. Partial date (month and year): 01-MMM-YYYY. Partial date (date and month): DD-MMM-1900. Date ranges: the latest/greatest date. No time: 00:00.



ANNEXURE B

PREPARATION OF DOCUMENTS AND HARD COPY DIGITISATION SPECIFICATIONS

- 1 Non-standard electronic documents that do not lend themselves to conversion to PDF (for example, complex spreadsheets, databases etc) will be produced in native format. All other files will be provided as multi-page text searchable paginated PDFs.
- 2 Electronic documents produced as multi-page text searchable PDFs will be stamped with sequential page numbers in the top right hand corner of each page.
- 3 Hard copy documents should be produced as multi-page text searchable, stamped, PDF Documents. The minimum requirement for scanned images is 300dpi text searchable multi-page PDF.
- 4 Colour versions of documents will be created if the presence of colour is necessary to the understanding of the document.
- 5 Extracted text files will be generated directly from the native file, even if the file is being produced in PDF format. Only redacted or hard copy documents will have their extract text generated after conversion to PDF.



ANNEXURE C

DOCUMENT TYPE LIST – OBJECTIVELY CODED

Document type			
Agenda	Email	Minutes of Meeting	Transcript
Agreement/Contract/ Deed	Facsimile	Notice	Web Page
Affidavit/Statement	Fax Transmission Report	Permit	
Annual Report	File Note	Photograph	
Article	Financial Document	Physical Media	
Authority	Form	Presentation	
Board Papers	Handwritten Note/Note	Receipt	
Brochure	Invoice/Statement	Report	
Certificate	Legislation/Act	RFI – RFO	
Cheque Remittance	Letter	Search/Company Search	
Court Document	List	Social Media/Messaging	
Curriculum Vitae/Resume	Manual/Guidelines	Specification	
Diary Entry	Map	Table/Spreadsheet	
Divider/File Cover	Media Article/Release	Submissions	
Diagram/Plan	Memorandum	Timesheet	